

# BHARAT HEAVY ELECTRICALS LIMITED (A Government of India Undertaking) DELHI - 110049

### **Notice for Inviting**

Request for Partnership

for Selection of Technology Partner for KAVACH

(The Indian Railway Automatic Train Protection System)

RfP Ref No: BHEL/AA/TL/0710

Date of Issue: July 19, 2023

Last date for submission of RfP response: August 03, 2023



Subject: Selection of Technology Partner for KAVACH (The Indian Railway Automatic Train Protection System)

#### 1) Introduction:

This Request for Partnership (RfP) seeks interest from Company(s) who are willing to partner with BHEL on long-term basis for development, certification/approval of KAVACH system as per system specification no. RDSO/SPN/2020 Ver 4.0 d3 by Research Design and Standards Organisation (RDSO), Indian Railway. (https://rdso.indianrailways.gov.in/uploads/File/System%20Requirement%20specification%20of%20KAVACH.pdf)

Prospective partner(s) should be able to assist BHEL in the development of KAVACH system (including hardware, software and its associated sub-system), approval from Research Design and Standards Organisation (RDSO), SIL-4 certification of hardware by authorized agency(ies), participation in Signalling & Telecommunication infrastructure development tenders of Indian Railways and erection, commissioning, maintenance and troubleshooting of the commercial orders on long term basis.

#### 2) About Bharat Heavy Electrical Ltd (BHEL):

BHEL is an integrated power plant equipment manufacturer and largest engineering & manufacturing enterprise of its kind in India, catering to core infrastructure sectors of Indian economy viz. energy, transportation, Oil & Gas, heavy engineering industry, renewable & non-conventional energy and defence. BHEL is listed on both major stock exchanges of India (BSE and NSE), wherein Government of India is holding 63.17% of its equity. To position the company as global industrial giant, Government of India categorized BHEL as "Maharatna Company" in 2013, empowering the company with enhanced autonomy in decision making.

BHEL has 16 manufacturing units, 4 power sector regions, 8 service centers and 4 regional offices besides a host of project sites spread all over India and abroad. The annual turnover of BHEL for the year 2022-23 was around USD \$2.8 Billion (Rs 23,365 Cr). Highly skilled and committed manpower of approx. 29000 employees, state-of-art manufacturing facilities and technologies have helped BHEL to deliver a consistent track record of performance. With the current order book exceeding US \$ 14 Billion (Rs. 102000 Cr), BHEL is poised for an excellent future growth.

Our ongoing major technology tie-ups include Siemens Energy Global GmbH & Co. KG., Germany (for Steam Turbines, Generators and Condensers); MHI, Japan (for Flue Gas Desulfurization Systems); Leonardo S.p.A, Italy (for Super Rapid Gun Mount); GE Tech. GmbH, Switzerland (for Steam Turbine for Nuclear Power Plant and for Gas Turbines); Vogt Power International, USA (for Heat Recovery Steam Generators); Indian Space Research Organization (ISRO) (for Space Grade Lithium-Ion Cells); CSIR-IIP (PVSA-based Medical Oxygen Plant); NANO Co. Ltd., Korea (for SCR Catalysts); HLB Power Co. Ltd., Korea (for Gates and Dampers); Kawasaki Heavy Industries, Japan (for Stainless Steel Coaches for Metros); Valmet Automation Oy, Finland (for DCS System), Sumitomo SHI FW, Finland (CFBC Boilers) and Babcock Power Environmental Inc., USA (for Selective Catalytic Reduction Systems).

For more details about the entire range of BHEL's products and operations please visit our website <a href="http://www.bhel.com">http://www.bhel.com</a>.

#### 3) BHEL's presence in Railway Transportation Business

BHEL is into the manufacturing of complete electric locomotives and diesel-electric locomotives. Among electrical propulsion equipment, BHEL manufactures and supplies traction motors, traction transformers, power converters (IGBT) & controls, auxiliary converters (IGBT) and vehicle control units for electric locomotives, diesel-electric locomotives and EMUs.

Our manufacturing range includes conventional DC drive, IGBT-based 3-phase drive equipment for various ratings for AC Locomotives, air conditioned and non-air-conditioned EMUs and MEMUs. BHEL has also been in the forefront of providing maintenance and spares/replacement support to Indian Railways for their locomotive fleet. BHEL has full-fledged service department located at major centers in the country.



#### 4) Prequalification Requirements (PQRs):

The Prospective partner(s) shall meet the following conditions as of the last date of submission of this RfP:

**4.1** The Prospective partner shall possess design of Train Collision Avoidance System (TCAS/ ATPS/ KAVACH) /European Train Control System (ETCS-1 / ETCS-2) and the product should either have got approved or is currently under the approval stage by RDSO/Indian Railways/European Rail Union/European Rail Traffic Management System.

#### OR

**4.2** The Prospective partner should have designed and supplied at least one product from each set of 4.2.1 & 4.2.2 for Indian Railway/RDSO or for any other country's Railway Company as below:

#### **4.2.1** Signalling Equipment Set-1:

- I. Communication-based Train control systems (CBTC)
- II. Automatic Train Operation System (ATO)
- III. Distributed power wireless control systems (DPWCS)
- IV. Train Protection and Warning systems (TPWS)
- V. Analog/Digital Axle Counters (Single/ Multi-Section)
- VI. Electronics Interlocking (EI)

#### **4.2.2** Signalling Equipment Set-2:

- I. Anti-Collision Device
- II. Cyber Signalling system
- III. Train Control and Management Systems (TCMS)
- IV. Remote monitoring systems (RMS)
- V. Train Automatic Braking Systems
- VI. End-Of-Train Telemetry Systems (EOTT)

Prospective Collaborator to provide relevant certificate(s)/ document to substantiate the PORs.

#### 5) RfP process:

Prospective partner(s) having proven technology and experience in the Signalling equipment, meeting the PQRs specified in Clause 4 of this RfP are requested to submit their response along with following documents (in hard/soft copy) on or before August 03, 2023 (**Thursday**):

- i. Company background
- ii. Product catalogue/ Technical features/datasheet
- iii. Existing business in the field of rail transportation sector- Signalling equipment and its services
- iv. Summary of product reference for major supplies in last 10 years
- v. Audited annual report for last three financial years including auditor's note

Based on the responses received, evaluation against Clause 4 (PQRs) & the evaluation criteria as per Annexure-4 and discussions with respondents, BHEL will shortlist parties for detailed discussions for technology partnership.

In case any amendment/corrigendum issued to this RfP, it shall be notified only at www.bhel.com



#### 6) Contact Details:

The respondent shall submit signed copy of the RfP along with Annexures, supporting documents specified above in clause 5 of this RfP to the following official:

Sr. Deputy General Manager

Corporate Technology Management Bharat Heavy Electricals Limited

BHEL House, Siri Fort New Delhi – 110049, India Phone: +91 11 66337458 / 7213

Mobile: +91 9441176267 / +91 9818103430

Email: techeoi@bhel.in

#### 7) Miscellaneous:

#### 7.1 Right to accept or reject any or all Applications:

- 7.1.1 Notwithstanding anything contained in this RfP, BHEL reserves the right to accept or reject any application and to annul the RfP Process and reject all applications at any time without any liability or any obligation for such acceptance, rejection or annulment and without assigning any reasons therefore. In the event that BHEL rejects or annuls all the applications, it may, at its discretion, invite all eligible OEMs/suppliers to submit fresh applications.
- 7.1.2 BHEL reserves the right to reject any applicant during or after completion of RfP process, if it is found there was a material misrepresentation by any such applicant or the applicant fails to provide, within the specified time, supplemental information sought by BHEL.
- 7.1.3 BHEL reserves the right to verify all statements, information and documents submitted by the applicant in response to the RfP. Any such verification or lack of such verification by BHEL shall not relieve the applicant of his obligations or liabilities hereunder nor will it affect any rights of BHEL.

#### 7.2 Governing Laws & Jurisdiction

The RfP process shall be governed by and construed in accordance with, the laws of India and the Courts at New Delhi (India) shall have exclusive jurisdiction over all disputes arising under, pursuant to and/or in connection with the RfP process.



### Annexure-1

### **Indicative Scope of Technology Transfer**

S No	Description of Work	Remarks		
1	Design and Development of the KAVACH system at the BHEL Facility	Development of KAVACH system (including Hardware and Software of Stationary KAVACH, Onboard KAVACH and Remote Interface Unit (RIU) etc.), integrated as per the RDSO Specification RDSO/SPN/196/2020 Ver 4.0d3 or latest version, approval from Research Design and Standards Organisation (RDSO), SIL-4 certification of hardware by the authorized agency(ies), erection, commissioning, maintenance and troubleshooting of the commercial orders on a long term basis.		
2	Transfer of technological know-how and know-why	<ul> <li>Design calculations</li> <li>Design documents</li> <li>Bill of Materials</li> <li>Hardware Architecture</li> <li>Software architecture</li> <li>Hardware schemes</li> <li>Gerber files</li> <li>Hardware life cycle analysis</li> <li>Software lifecycle analysis</li> <li>Software source code</li> <li>Firmware</li> <li>Application codes</li> <li>Braking algorithms</li> <li>Communication codes</li> <li>AES Encryption codes</li> <li>Support drivers for device functionality</li> <li>Software tools for writing application codes and Firmware codes.</li> <li>Test Protocols</li> <li>RAMS Calculations</li> <li>SIL -4 certificates</li> <li>RAMS Submissions</li> <li>Loco TCAS and Station TCAS integration procedures</li> <li>Station TCAS and Remote Interface Unit (RIU) integration procedures</li> <li>Station TCAS and Radio Tower, Station TCAS to another Station TCAS communication procedures</li> <li>Loco TCAS to another Loco TCAS integration procedures</li> <li>Station TCAS to another Loco TCAS integration procedures</li> <li>Loco TCAS to another Loco TCAS integration procedures</li> <li>Loco TCAS to another Loco TCAS integration procedures</li> <li>Loco TCAS to another Loco TCAS integration procedures</li> <li>SIL-4 certification procedure for overall process</li> <li>SIL-4 certification procedure for overall product</li> <li>RFID Communication codes</li> <li>RS 485, RS 232, USB, CAN, OFC and Ethernet Communication codes</li> </ul>		



from RDSO/Indian Railways  as per the Standards listed below:  TCAS modes and transition  Variation of voltage and interruption of supply IEC 60571, 1998.  Supply over voltages and surge test as per IEC 6 1998.  Transient Burst and Susceptibility Test as per 60571, 1998.	dures,			
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	Radio interference test as per IEC 60571, 1998.			
1 '	-			
Applied High voltage tests	Applied High voltage tests			
	Card level/module level tests			
Card-level functional checks on each type of modules	• Card-level functional checks on each type of PCB			
Card-level functional tests on each type of	Card-level functional tests on each type of PCB			
	modules			
	Communication peripherals testing			
	System level diagnostic & functional tests			
• Environmental tests as specified in the doc RDSO/SPN/196/2020 Ver 4.0 d3	Environmental tests as specified in the document RDSO/SPN/196/2020 Ver 4.0 d3			
• Routine tests as specified in the doc RDSO/SPN/2020 Ver 4.0 d3	Routine tests as specified in the document RDSO/SPN/2020 Ver 4.0 d3			
Acceptance tests as specified in the doc RDSO/SPN/2020 Ver 4.0 d3	ıment			
	ıment			
Integration tests as specified in the doc	ıment			
RDSO/SPN/2020 Ver 4.0 d3				
Interoperability tests specified in the doc     RDSO/SPN/2020 Ver 4.0 d3 or RDSO Purchase				



6	Independent Safety Agency	Technology partner shall support BHEL for Assembly,
U	(ISA – SIL 4) certification	integration and commissioning of TCAS system and
	(ISTT SIE T) continuation	subsequently SIL-4 certification. Following list of
		documents may need to be prepared and submitted for <b>ISA</b> -
		RDSO stage-wise approvals:
		Component verification reports
		System requirement specifications
		System assessment reports
		System acceptance reports
		Test witness reports for generic application and field
		application
		Process and Product reports
		Report on Safety Management in TCAS
		Reliability calculations
		Risk Analysis
		FMECA and FTA reports
		Maintainability and availability calculations
		Failure rates, MTBF and MTTF calculations
		RBD and Voter system reports
		RAMS life-cycle reports
		First site specific application case reports
7	After-Sales support	BOM changes
		Schematics and revision
		Source code and updates
		Firmware and updates
		Reliability improvements
8	Software & Hardware	Software for running TCAS should comprise
		Algorithm, Source code, Firmware and device drivers
		that can be used by BHEL in various TCAS projects
		without any limitations.
		Hardware should comprise schemes of all control
		cards with information and detailed datasheets of all
		latest Chipsets that can be supported by OEM for the next 10-15 Years.
		• Software & Hardware should be as per relevant CENELAC standards (EN 50126, EN 50128, EN
		50129 and EN 50121) compliant with SIL-2/SIL-
		3/SIL-4 specification and certified by Independent
		Safety Assessor (ISA) approved by RDSO.
9	Intellectual Property Rights	IP Rights of TCAS products developed with help of
		technology obtained from Technology partner shall
		remain with BHEL.
10.	Supply, erection, and	Separate purchase order for supply, assembly, testing,
	commissioning of prototype for	and commissioning of (complete/partial) sets of
	KAVACH	Stationary KAVACH, Loco KAVACH and Remote
		Interface Unit (RIU) may be placed by BHEL's
		Electronic Division, Bengaluru.



### **Annexure -2**

### Prospective Partner's Experience in the field of Signalling Systems for Railways

Sl. No.	Requirement	Remarks with details
(a)	Whether the Prospective partner has design of Train Collision Avoidance System (TCAS/ ATPS/ KAVACH), the product should either have got approved or is currently under the approval stage by RDSO/Indian Railways.	
(b)	Whether the Prospective partner has designed/ supplied European Train Control System (ETCS-1 / ETCS-2) approved by European Rail Union/European Rail Traffic Management System.	
(c)	Whether the prospective partner has designed and supplied Signalling Equipment (for Indian Railway/RDSO or for any other country's Railway Company) specified below:  I. Communication-based Train control systems (CBTC)  II. Automatic Train Operation System (ATO)  III. Distributed power wireless control systems (DPWCS)  IV. Train Protection and Warning systems (TPWS)  V. Analog/Digital Axle Counters (Single/ Multi-Section)  VI. Electronics Interlocking (EI)	
(d)	Whether the Prospective partner has designed and supplied Signalling Equipment (for Indian Railway/RDSO or for any other country's Railway Company) specified below:  I. Anti-Collision Device II. Cyber Signalling system III. Train Control and Management Systems (TCMS) IV. Remote monitoring systems (RMS) V. Train Automatic Braking Systems VI. End-Of-Train Telemetry Systems (EOTT)	
(e)	Whether the Prospective Partner has executed at least one single work contract of design, supply, installation, testing & commissioning of Signaling & Train-Control system/ Train collision avoidance system during the last 5 years.	
(f)	Whether the Prospective Partner is an OEM/supplier of LOCO TCAS system approved/under approval by RDSO	
(g)	Whether the Prospective Partner is an OEM/supplier of Station TCAS system approved/under approval by RDSO	
(h)	Whether the Prospective Partner is an OEM/supplier of SM-OCIP approved/under approval by RDSO	
(i)	Whether the Prospective Partner is an OEM/supplier of RFID Reader approved/under approval by RDSO	
(j)	Whether the Prospective Partner is an OEM/supplier of Brake Interface Unit approved/under approval by RDSO	



(k)	Whether the Prospective Partner is an OEM/supplier of Radio Tower approved/under approval by RDSO	
(1)	Whether the Prospective Partner is an OEM/supplier of RFID Tags approved/under approval by RDSO	
(m)	Whether the Prospective Partner confirms its willingness to facilitate BHEL in establishing required manufacturing/assembly, integration and test facilities for KAVACH Systems for Railways	
(n)	Whether the Prospective Partner has been blacklisted / banned business dealings for Ministry of Railways or any Government Department of India.	
(0)	Whether details of company background, product catalogues have been enclosed.	
(p)	Whether copy of the Prospective Partner annual audited financial reports for last 5 years has been enclosed.	
(q)	Whether a summary of experience & references have been enclosed.	
(r)	Whether the Prospective Partner owns the IPRs for the technology being proposed) or have unencumbered right from the owner of the IPRs to sub-license the technology, if applicable.  If yes, list of such IPRs to be enclosed.	
(s)	Whether the Prospective Partner confirmed the Transfer of essential technology to BHEL to enable BHEL to design, engineer, manufacture, assemble, quality control, test, supply, install, commission, repair, service and retrofit state-of-the-art KAVACH Systems for Railway.	

(SIGNATURE)



### **Annexure -3**

**Reference List:** The Prospective partner shall furnish summary of their major supplies in last 10 years:

Sr. No.	Year of Supply	Name of Customer	Description of System/sub- system supplied	Remarks
1				
2				
3				
4				
5				
6				
7				
8				
9				
10				

(SIGNATURE)



**Annexure-4** 

### **Evaluation Criteria**

- 1.0 Response of prospective partner(s) who do not meet the Pre-Qualification Requirement as per clause 4 of this RfP, will not be considered for evaluation.
- 2.0 Shortlisting of prospective partner(s) shall be done on the basis of the "Technical Quality" evaluation criteria specified below and PQRs specified in Clause 4 of this RfP. (*The prospective partner shall share suitable supporting documents, wherever applicable*)
- 3.0 Only responses of respondents qualifying the PQRs and with minimum score of 40 marks out of 100 marks will be shortlisted for further discussions with BHEL.

Sl. No	Parameters	Marks - Y (Max Marks -10)		Prospective Collaborator's Claim/Response
1.	Prospective Technology partner has worked on - Embedded control systems design and development	Type of Controller	Marks (Y1)	
	a. SIL-4 Product b. SIL-2 Product	a. SIL-4	10	
	Proof: Self certified letter with supporting	b. SIL-2	5	
	documents shall be submitted.	Total	Y1/10	
2.	Prospective Technology partner has worked on Traction application - Embedded control systems communication peripherals	Communication protocol	Marks (Y2)	
	a. Ethernet	Ethernet	2	
	b. RS 485 c. CAN	RS 485	2	
	d. USB	CAN	2	
	e. OFC	USB	2	
	Proof: Self certified letter with supporting	OFC	2	
	documents shall be submitted.	Total	Y2/10	
3.	Prospective Technology partner has worked on a) UHF communication	Communication	Marks (Y3)	
	b) GPS communication	UHF com	2	
	c) GSM communication d) LTE communication	GPS com	2	
	e) Cloud Data communication	GSM com	2	
	Proof: Self certified letter with supporting	LTE com	2	
	documents shall be submitted	Cloud com	2	
		Total	Y3/10	
4	Patents in Indian/Global market of the prospective TOT partner in the area of products mentioned in clause 4.2	No of Patents	Marks (Y4)	
		2	10	
	Proof: Patent Filing applications, Patent acceptance letters should be submitted.	1	5	
	acceptance letters should be submitted.	Total	Y4/10	



work Emb Firm deve a. An b. El c. Tr d. Di e. C Syste  Proo docu  6 Will India partr	spective Technology partner has ked on Traction application - bedded control systems Hardware, hware, Algorithm and Software elopment utomatic Train Protection System lectronic Interlocking rain Control Management Systems rigital Axle Counters Communication based Train Control em  of: Self certified letter with supporting aments shall be submitted lingness to share exclusive IP rights in a for the proposed Technology nership	Type of Algorithm ATPS EI TCMS DAC CBTC Total	Marks (Y5)   2   2   2   2     2     Y5/10	Claim/Response
Firm deve a. Au b. El c. Tr d. Di e. C Syste  Proo docu 6 Will India partr	nware, Algorithm and Software elopment utomatic Train Protection System lectronic Interlocking rain Control Management Systems igital Axle Counters Communication based Train Control em  of: Self certified letter with supporting ments shall be submitted lingness to share exclusive IP rights in a for the proposed Technology	Algorithm ATPS EI TCMS DAC CBTC Total	2 2 2 2 2 Y5/10	
deve a. At b. El c. Tr d. Di e. C Syste  Proo docu 6 Will India partr  7 RAM expe	elopment utomatic Train Protection System lectronic Interlocking rain Control Management Systems rigital Axle Counters Communication based Train Control rem of: Self certified letter with supporting ments shall be submitted lingness to share exclusive IP rights in a for the proposed Technology	EI TCMS DAC CBTC Total	2 2 2 2 Y5/10	
b. El c. Tr d. Di e. C Syste  Proo docu 6 Will India partr	lectronic Interlocking rain Control Management Systems igital Axle Counters Communication based Train Control em of: Self certified letter with supporting aments shall be submitted lingness to share exclusive IP rights in a for the proposed Technology	TCMS DAC CBTC Total	2 2 2 Y5/10	
c. Tr d. Di e. C Syste  Proo docu 6 Will India partr	rain Control Management Systems igital Axle Counters Communication based Train Control em of: Self certified letter with supporting aments shall be submitted lingness to share exclusive IP rights in a for the proposed Technology	DAC CBTC Total	2 2 Y5/10	
e. C Syste Proo docu 6 Will India partr	communication based Train Control em  of: Self certified letter with supporting aments shall be submitted linguess to share exclusive IP rights in a for the proposed Technology	CBTC Total	2 Y5/10	
Proo docu 6 Will India partr 7 RAM expe	of: Self certified letter with supporting aments shall be submitted lingness to share exclusive IP rights in a for the proposed Technology	Total	Y5/10	
6 Will India partr  7 RAM expe	lingness to share exclusive IP rights in a for the proposed Technology			
6 Will India partr  7 RAM expe	lingness to share exclusive IP rights in a for the proposed Technology	Agreed	Marks	
7 RAN expe	a for the proposed Technology	Agreed	Marks	
7 RAN expe	nership			
expe		Yes	( <b>Y6</b> )	
expe		No	0	
expe		Total	Y6/10	
expe	MS / CENELEC /IEC certification			
Proo	erience of the TOT Partner/Vendor,	Standards	Marks (Y7)	
	of: Test certificates required	a. EN 50126	1	
	-	b. EN 50128	1	
	1. EN50126 2. EN50128	c. EN 50129	1	
	3. EN50129	d. EN 50155	2	
	4. EN50155 5. EN50121-4	e. EN 50121-4	1	
	6. EN50159 7. EN50238	f. EN 50159	1	
	8. IEC61373	g. EN 50238	1	
	9. IEC62443 Or equivalent standards	h. IEC 61373	1	
	-	i. IEC 62443	1	
	itional marks for 50155 as it covers for Electronic Equipment on Rolling Stock	Total	Y7/10	
	7 1			
	et Worth at end of last financial year	Financial Streamarks (Y8)	ngth	
a. IN	et worth at end of fast financial year	Net worth > 5 C	Cr 2	
	rofitability {Earnings or Profit before	Net worth > 25		
	out after interest} shall be positive in at two financial years out of last five	Profitable in 3 of previous 5 F		
finar	ncial years.	Profitable in 4 of previous 5 F	out 4	
FY2	T . F TT/2022 22	Profitable in 5 of previous 5 F	out 6	
	e: Last 5 years means FY2022-23, 2021-2022, FY 2020-2021, FY 2019- 20, FY 2018-2019	Total	Y8/10	



Sl. No	Parameters	Marks - (Max Marks		Prospective Collaborator's Claim/Response
9	The willingness of the Prospective Technology partner for the proposed TCAS agreement to supply Sub-systems/	Supply as per BHEL requirements – Marks (Y9)		
	components/ products to BHEL for the prospective TCAS orders to be executed	Supply of TCAS components – 5	10	
	Remote Interface Unit     Station TCAS	Supply of any 4 TCAS components	8	
	<ol> <li>Loco TCAS</li> <li>Network Monitoring System</li> <li>RFID Tags and RFID Readers</li> </ol>	Supply of any 3 TCAS components	6	
		Supply of any 2 TCAS components	4	
		Supply of any 1 TCAS component	2	
		Total	Y9/10	
10	Prospective Technology partner has implemented any project with following:	Criteria and Unde Total Marks - 1		
	a. SIL-4 architecture of Hardware	SIL- 4 Hardware	2	
	<ul><li>b. SIL-4 architecture of Software</li><li>c. RAMS standards and application</li><li>d. AES Encryption and communications</li></ul>	SIL 4 Software	2	
	e. RFID Tags & Reading	RAMS Standards	2	
		AES Encryption	2	
		RFID Communication	2	
		Total	Y10/10	
	Total Marks ( Y) obtained for all Parameters	Y = Y1 + Y2 + Y4 + Y5 + Y6 + Y7 + Y5		